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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/804,572	03/19/2004	Russell A. Warnecke	1629.122

CONFIRMATION NO. 7086

## FORMALITIES LETTER



\*OC000000012842697\*

Robert H. Kelly  
 Scheef & Stone, L.L.P.  
 Suite 1400  
 5956 Sherry Lane  
 Dallas, TX 75225

Date Mailed: 06/02/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$27 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$477 for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$27

08/06/2004 YPOLITE1 00000056 502032 10804572

01 FC:2001	385.00 DA
02 FC:2051	65.00 DA
03 FC:2202	27.00 DA

- \$27 for 3 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice MUST be returned with the reply.*

AG

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



# SCHEEF & STONE, L.L.P.

Legal counsel based on solid principles.

## CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

Date of Mailing: August 2, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop MISSING PARTS, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the above identified date.

Diane Taylor

Typed or printed name of person mailing paper or fee

SIGNATURE of person mailing paper or fee

August 2, 2004

DOCKET NUMBER: 1629.122

Mail Stop MISSING PARTS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In re: U.S. Serial No. 10/804,572  
Inventors: Russell A. Warnecke, et al.  
Title: **COLOR CHANGING APPARATUS, AND ASSOCIATED METHOD, FOR A LIGHT ASSEMBLY**

Enclosed please find:

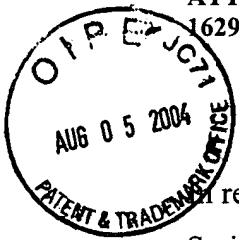
- ☒ Response to Notice to File Missing Parts of Nonprovisional Application (in duplicate);
- ☒ Copy of Notice to File Missing Parts of Nonprovisional Application; and
- ☒ Return post card

Respectfully submitted,

By:

  
Robert H. Kelly, Reg. No. 33,922  
Attorney for Applicant

SCHEEF & STONE, L.L.P.  
5956 Sherry Lane, Suite 1400  
Dallas, Texas 75225  
Tel: (214) 706-4201  
Fax: (214) 706-4242



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: RUSSELL A. WARNECKE, ET AL.  
Serial No. 10/804,572  
Filing Date: March 19, 2004  
Group Art Unit: 2875  
Examiner: Not yet assigned  
Title: COLOR CHANGING APPARATUS, AND ASSOCIATED METHOD, FOR A LIGHT ASSEMBLY

MS Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Certification Under 37 C.F.R. 1.8****Date of Mailing: August 2, 2004**

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Diane Taylor

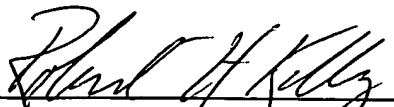
**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION**

In response to the Notice to File Missing Parts of Nonprovisional Application dated June 2, 2004, Applicant hereby submits the following:

The Commissioner is hereby authorized to charge the statutory basic filing fee of \$385 (small entity), and the late filing fee surcharge set forth in 37 CFR 1.16(e) of \$65, and other fees due in connection with the filing of this application, including the additional claim fees of \$27, to Scheef & Stone, L.L.P. Deposit Account No. 502032 (a duplicate copy of this paper is attached).

Respectfully submitted,

Date: 2 Aug 04

  
Robert H. Kelly, Reg. No. 33,922  
ATTORNEY FOR APPLICANT

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